**Key:** Rows highlighted in green refer to documents currently undergoing amendments. Updated versions will be provided to all trial centres and GP practices (as applicable) as soon as the new versions are finalised or approved. Rows highlighted in yellow represent drafts in progress.

| Document                               | Current<br>version # | Version date               | Approvals required | Date of current approval                                | Will there be local (centre) versions? | On website         | Website version updated                   |
|--|----------------------|----------------------------|--------------------|---|--|--------------------|---|
| Protocol                               | 1.5                  | 22 Jan 2014                | Ethics             | 24 Jan 2014<br>(minor<br>amendment,<br>notified to REC) | No                                     | V1.5 (22 Jan 2014) | 04 Feb 2014                               |
| Patient information                    |                      |                            |                    |   |  |                    |   |
| Consent form                           | 1.3<br>1.4           | 13 Dec 2012<br>04 Feb 2014 | Ethics             | 5 Feb 2013<br>From 24 Feb 2014                          | No                                     | No                 | /   |
| Consent to contact form                | 1.0                  | 05 Sep 2013                | Ethics             | 07 Nov 2013<br>(REC) and 19 Dec<br>2013 (MHRA)          | No                                     | No                 | /   |
| Patient Information Booklet (PIB)      | 1.4                  | 05 Sep 2013                | Ethics             | 07 Nov 2013<br>(REC) and 19 Dec<br>2013 (MHRA)          | No                                     | V1.4 (05 Sep 2013) | 13 Jan 2014                               |
| Summary PIS                            | 1.4                  | 05 Sep 2013                | Ethics             | 07 Nov 2013<br>(REC) and 19 Dec<br>2013 (MHRA)          | No                                     | V1.4 (05 Sep 2013) | 13 Jan 2014                               |
| Peak Flow Meter instructions           | 1.0                  | 13 Dec 2012                | Ethics             | 5 Feb 2013  | No                                     | V1.0 (13 Dec 2012) | Not known, but current version is online. |
| Trial poster                           | 1.1                  | 28 Aug 2013                | Ethics             | 07 Nov 2013<br>(REC) and 19 Dec<br>2013 (MHRA)          | No                                     | V1.1 (28 Aug 2013) | 10 Jan 2013                               |
| IMP (see also Drug Handling SOP below) |                      |                            |                    |   |  |                    |   |
| Trial prescription                     | 1.8                  | 08 Nov 2013                | Sponsor            | 08 Nov 2013   | No                                     | No                 | /   |
| Medicine pack label                    | 1.4                  | 9 Jul 2012                 | MHRA               | 24 Oct 2012   | No                                     | No                 | /   |

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|---|----------------------|--------------|--------------------|--|--|--------------------|-------------------------|
| Trial Participation Card                    | 1.0                  | 31 May 2012  | Ethics             | 25 Oct 2012                                    | No                                     | No                 | /                       |
| GP information                              |                      |              |                    |  |  |                    |                         |
| Letter to GP Practices                      | 1.0                  | 31 May 2012  | Ethics             | 25 Oct 2012                                    | Yes                                    | No                 | /                       |
| GP Information Sheet (for PCRN)             | 1.0                  | 18 Feb 2013  | No                 | /  | Yes – owned by the trial centres       | No                 | /                       |
| Eligibility Criteria Laminated Card         | 1.3                  | 23 Sep 2013  | No                 | /  | No                                     | v1.3 (23 Sep 2013) | 13 Jan 2014             |
| Receptionist Script                         | 1.0                  | 22 Mar 2013  | No                 | /  | Yes                                    | No                 | /                       |
| Telephone follow-up                         | ·                    |              |                    |  | 1                                      |                    |                         |
| Weekly Questions                            | 1.0                  | 16 May 2013  | Trial<br>Manager   | 16 May 2013                                    | No                                     | No                 | /                       |
| EQ-5D 5L                                    | 2.0                  | 2009         | No                 | /  | No                                     | No                 | /                       |
| Telephone Follow-up SOP                     | 1.0                  | 16 May 2013  | Trial<br>Manager   | 16 May 2013                                    | No                                     | No                 | /                       |
| Data collection forms                       | ·                    |              |                    |  | 1                                      |                    |                         |
| CRF Section 1: Eligibility Assessment       | 1.2                  | 23 Sep 2013  | Ethics             | 07 Nov 2013<br>(REC) and 19 Dec<br>2013 (MHRA) | No                                     | V1.2 (23 Sep 2013) | 13 Jan 2014             |
| CRF Section 2: Clinical Examination         | 1.2                  | 23 Sep 2013  | No                 | /  | No                                     | V1.2 (23 Sep 2013) | 13 Jan 2014             |
| CRF Section 3: Patient Registration         | 1.2                  | 23 Sep 2013  | No                 | /  | No                                     | V1.2 (23 Sep 2013) | 13 Jan 2014             |
| CRF Section 4: Symptoms and Medical History | 1.2                  | 23 Sep 2013  | No                 | /  | No                                     | V1.2 (23 Sep 2013) | 13 Jan 2014             |
| Patient Symptom Diary                       | 1.1                  | 22 Jan 2014  | Ethics             | 24 Jan 2014                                    | No                                     | No <sup>1</sup>    | /                       |

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<sup>&</sup>lt;sup>1</sup> Electronic/online symptom diary will be a data entry system not a document.

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|-----------------------------------|----------------------|--------------------|--------------------|--------------------------|--|---------------------|---|
|                                   |                      |                    |                    | (notified to REC)        |  |                     |   |
| Primary Care Notes Review         | 1.0                  | 27 Nov 2012        | No                 | /                        | No                                     | v1.0 (27 Nov 2012)  | 12 Feb 2014                               |
| Trial management SOPs / Forms (NI | B: after initial spo | nsor approval, fur | ther approval o    | loes not need to be s    | ought for updates t                    | o these documents)  |   |
| GP site file contents list        | 1.2                  | 09 Sep 2013        | Sponsor            | 19 Apr 2013              | No                                     | No                  | /   |
| Trial centre contacts             | 1.0                  | 19 Nov 2013        | No                 | /                        | Yes                                    | No                  | /   |
| Training Log                      | 1.0                  | 18 Mar 2013        | Sponsor            | 19 Apr 2013              | Yes                                    | No                  | /   |
| Trial Centre Delegation Log       | 1.0                  | 11 Mar 2013        | Sponsor            | 19 Apr 2013              | Yes                                    | No                  | /   |
| GP Site Delegation Log            | 1.0                  | 22 Apr 2013        | Sponsor            | 19 Apr 2013              | Yes                                    | v1.0 (22 Apr 2013)  | Not known, but current version is online. |
| Screening Log                     | 1.0                  | 22 Apr 2013        | Sponsor            | 19 Apr 2013              | Yes                                    | v1.0 (22 Apr 2013)  | Not known, but current version is online. |
| Patient Withdrawal Form           | 1.0                  | 22 Apr 2013        | Sponsor            | 22 Apr 2013              |  | v1.0 (22 Apr 2013)  | Not known, but current version is online. |
| Adverse Event Reporting SOP       | 1.1                  | 18 June 2013       | Sponsor            | 19 Apr 2013              | No                                     | V1.1 (18 June 2013) | 28 June 2013                              |
| SAE Initial Reporting Form        | 1.0                  | 13 March 2013      | Sponsor            | 19 Apr 2013              | No                                     | V1.0 (13 Mar 2013   | 28 June 2013                              |
| SAE Follow-up Reporting Form      | 1.0                  | 13 March 2013      | Sponsor            | 19 Apr 2013              | No                                     | No                  | /   |
| SAE Weekly Check Log              | 1.2                  | 03 October<br>2013 | No                 | /                        | No                                     | V1.2 (03 Oct 2013)  | 01 Nov 2013                               |
| Drug Handling SOP                 | 1.0                  | 27 Mar 2013        | Sponsor            | 27 Mar 2013              | No                                     | v1.0 (27 Mar 2013)  | Not known, but current version is online. |

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| IMP Patient Pack Requisition and Transfer Log       | 1.2                  | 22 Oct 2013  | As per Drug<br>Handling<br>SOP           |                          | No                                     | V1.2 (22 Oct 2013)  | 22 Oct 2013                               |
| IMP GP Site Patient Pack Accountability Log         | 1.0                  | 27 Mar 2013  | As per Drug<br>Handling<br>SOP           |                          |  | No                  | /   |
| IMP Trial Centre Patient Pack<br>Accountability Log | 1.0                  | 27 Mar 2013  | As per Drug<br>Handling<br>SOP           |                          |  | No                  | /   |
| IMP Storage Risk Assessment                         | 1.0                  | 27 Mar 2013  | As per Drug<br>Handling<br>SOP           |                          |  | No                  | /   |
| IMP Significant Temperature Variations<br>Log       | 1.0                  | 27 Mar 2013  | As per Drug<br>Handling<br>SOP           |                          |  | No                  | /   |
| IMP Weekly Temperature Monitoring Log               | 1.1                  | 19 July 2013 | As per Drug<br>Handling<br>SOP           |                          |  | V1.1 (19 July 2013) | 19 July 2013                              |
| Unblinding SOP                                      | 1.0                  | 26 Mar 2013  | Sponsor                                  | 26 Mar 2013              | No                                     | V1.0 (26 Mar 2013)  | Not known, but current version is online. |
| Unblinding Accountability Log                       | 1.0                  | 26 Mar 2013  | As per<br>Emergency<br>Unblinding<br>SOP |                          |  | No                  | /   |
| Fax Transmission SOP                                | 1.0                  | 13 Mar 2013  | Sponsor                                  | /                        | No                                     | V1.0 (13 Mar 2013)  | Not known, but current version is online. |
| Fax Cover Sheet                                     | 1.0                  | 2 May 2013   | No                                       | /                        | Yes                                    | V1.0 (02 May 2013)  | Not known, but current version is online. |

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|---|-------------------|----------------------------|---|----------------------------|--|---------------------|-------------------------|
| Protocol Deviations SOP   | 1.0               | 17 Jun 2013                | CI                                      | 19 June 2013               | No                                     | V1.0 (17 June 2013) | 28 June 2013            |
| Trial Centre Protocol and GCP Deviations Log  | 1.0               | 17 Jun 2013                | As per<br>Protocol<br>Deviations<br>SOP |                            | No                                     | No                  | /                       |
| Clinical Database Specification and Data<br>Management Plan   | 0.5               | 18 June 2013               | Sponsor                                 | Draft in progress          | No                                     | No                  | /                       |
| Data Monitoring SOP   | 1.0<br>1.1        | 30 Oct 2013<br>18 Nov 2013 | CI / Sponsor                            | Revision in progress       | No                                     | To be added         |                         |
| Patient Pack Reallocations SOP  | 1.0               | 07 Feb 2014                | CI / Sponsor                            | Approved                   | No                                     | No                  | /                       |
| Patient Pack Reallocations Log  | 1.0               | 07 Feb 2014                | CI / Sponsor                            | Approved                   | No                                     | No                  | /                       |
| Archiving Plan  | 0.1               | 18 June 2013               | Sponsor                                 | Awaiting review by sponsor | No                                     | No                  | /                       |
| OSAC Trial Site Agreement 44167   | 1.2               | 16 May 2013                | Sponsor                                 | Approved                   | Yes                                    | No                  | /                       |
| OSAC eCRF Access Instructions   | 1.2               | 01 Nov 2013                | None                                    | /                          | No                                     | V1.2 (01 Nov 2013)  | 01 Nov 2013             |
| OSAC trial labelling instructions for GP practices  | 1.1               | 01 Nov 2013                | None                                    | /                          | No                                     | V1.1 (01 Nov 2013)  | 01 Nov 2013             |
| OSAC trial guidance for interpreting output of <a href="https://www.peakflow.com">www.peakflow.com</a> for calculating predicted peak flow (CRF 4, Clinical Observations, Question 4.1.3) | 1.0               | 17 Sep 2013                | None                                    | /                          | No                                     | No                  | /                       |

**FORMS NO LONGER USED** 

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|---|----------------------|--------------|--------------------|--------------------------|--|------------|-------------------------|
| Confirmation by GP of patient eligibility to participate in the OSAC trial <sup>2</sup> | 1.0                  | 28 Aug 2013  | Sponsor            | 23 August 2013           | No                                     | No         | /                       |

Updated by Harriet Downing, OSAC Trial Manager, 12 February 2014

<sup>&</sup>lt;sup>2</sup> For use in recruitment where an Advanced Nurse Practitioner acts in the role of Responsible Clinician and authorises the trial prescription.